



Prepared for: Webinar Launch of the New & Improved CMTS
Federal Funding Handbook

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[Link](#) to download the Handbook
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Disclaimer

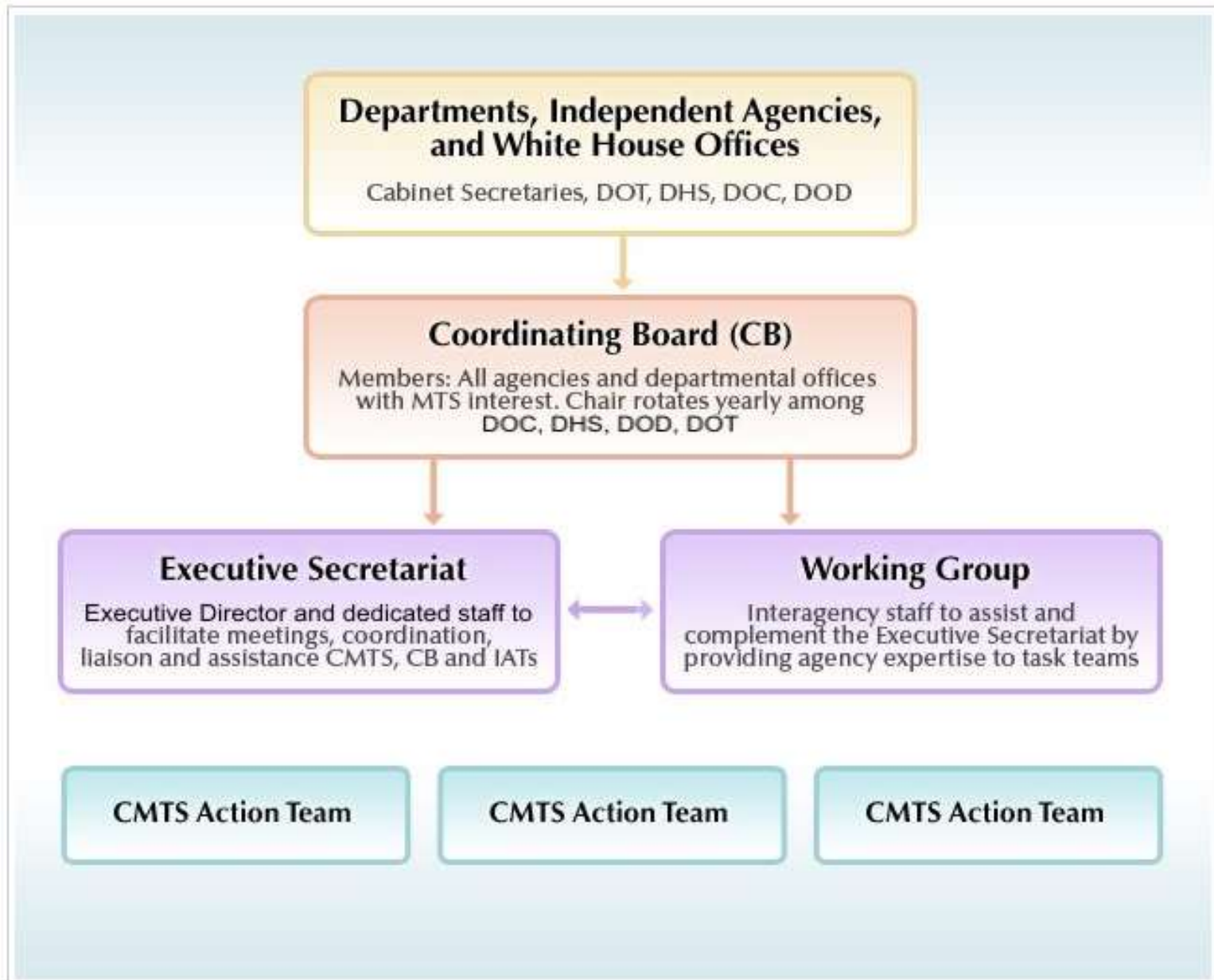
- This resource is a compilation of existing and recent Federal funding programs related to the MTS. No particular program is endorsed nor are the programs listed herein all-inclusive.
- Applications cannot be filed through the CMTS, nor can the CMTS assist in their completion/filing.
- Program information was gathered from points of contact, program websites and/or the respective Federal websites.
- When in doubt, contact the sponsoring agency.

Agenda

- CMTS
- Infrastructure Investment IAT
- Funding Handbook
- Q&A

CMTS Introduction

- The CMTS is a Federal interagency coordinating committee **authorized** by Congress in 2012 (Pub. L. No. 112-213).
- The **CMTS charter** (2005) defined the parties, authority, purpose, organization and agency responsibilities to improve the coordination of and make recommendations with regard to Federal policies that impact the MTS. The 2012 authorization named the Secretary of Transportation as chair.
- The **purpose** of the CMTS is to create a partnership of Federal departments and agencies with responsibility for the Marine Transportation System (MTS).
- The CMTS is directed to assess the adequacy of the MTS; promote integration of the MTS with other transportation modes and the marine environment; and coordinate and make recommendations with regard to Federal policies that impact the MTS.



Standard Matrix of the Federal Marine Transportation System

By Department/Agency

FEDERAL INTEREST	MAJOR CATEGORIES	USDA					DOC					DoD		DOE	DOI				DOJ	DOL		DHS				DOS	DOT					Treasury	FMC	NTSB	EPA			
		FAS	FSA	APHIS	NRCS	AMS	BIS	Census	EDA	ITA	NOAA	USACE	Navy	TRANSCOM		USFWS	BOEM	BSEE	USGS		BLS	OSHA	TSA	USCG	FEMA	CBP		OST	BTS/RITA	SLSDC	FHWA	MARAD	FRA		FMC	NTSB	EPA	
Enhance Safety	Safety									X	X	X			X	X	X	X		X	X					X		X	X	X					X		X	
Protect the Environment	Environmental Protection			X	X			X		X	X			X	X	X	X	X				X	X			X	X		X	X	X					X		X
Facilitate Commerce	Trade Facilitation	X	X	X		X	X	X		X	X				X			X				X		X		X	X		X	X	X		X	X		X		X
	Trade Promotion	X	X	X		X				X																X	X		X	X			X	X		X		X
	Vessel Construction																					X		X		X			X	X			X					
	Vessel Operations									X						X	X					X		X		X		X		X								X
	Federal Channels, Waterways, and Sea Lanes									X	X			X	X		X	X				X	X			X	X	X	X	X			X					X
Ensure National Security	Security									X	X	X	X	X		X	X	X			X	X	X	X	X	X	X											
	Research & Development						X	X	X	X	X			X	X	X	X					X				X	X						X					X
Cross-Cutting	Human Resources								X	X	X				X	X					X	X			X		X											X

CMTS Action Teams

- Infrastructure Investment
- Arctic Marine Transportation
- Future of Navigation
- Military to Mariner Task Team
- MTS Research & Development
- Maritime Data
- Maritime Energy & Air Emissions
- System Resilience

Infrastructure Investment IAT

Co-leads:

- U.S. Department of Transportation
- U.S. Army Corps of Engineers
- U.S. Department of the Treasury

Participating Agencies: FHWA, MARAD, EPA, USDA, FMC, ITA, NOAA, NMIO, USCG, USACE, Treasury, DOI, TRANSCOM

Activities:

- Quarterly meetings
- Handbook update
- Resource Library
- Hosted Speaker Series on economic impacts of underinvestment in maritime infrastructure
- Hosted two events in conjunction with Infrastructure Week 2017

Resource Library:

MTS Infrastructure Finance



1. Introduction

- 1.1. *Introduction to the Resource Library*, CMTS, 2017.
- 1.2. *Transportation Toolkit*, Department of Transportation, Dec. 2016.
- 1.3. *2018 Budget Fact Sheet: Infrastructure Initiative*, Office of Management and Budget, The White House, May 2017.
- 1.4. *Failure to Act: Closing the Infrastructure Investment Gap for America's Economic Future*, American Society of Civil Engineers, 2016.
- 1.5. *Stewardship of Federal Facilities: A Proactive Strategy for Managing the Nation's Public Assets*, National Research Council of the National Academies, 1998.
- 1.6. *Investments in Federal Facilities: Asset Management Strategies for the 21st Century*, National Research Council of the National Academies, 2004.

2. Finance

- 2.1. *Guide to Financial Literacy: Understanding the Risks & Rewards of Public-Private Partnerships*, Governing, 2016.
- 2.2. *Federal Funding Handbook*, CMTS, 2015.
- 2.3. *FASTACT Handbook Addendum*, CMTS, Mar. 2017.
- 2.4. *Port Planning and Investment Toolkit*, Department of Transportation, Maritime Administration, 2016.
- 2.5. *Expanding our Nation's Infrastructure through Innovative Financing*, Department of the Treasury, Office of Economic Policy, Sept. 2014.
- 2.6. *Transportation Governance and Finance: A 50-State Review of State Legislatures and Departments of Transportation*, American Association of State Highway and Transportation Officials, Nov. 2016.

3. Public Private Partnerships (P3s)

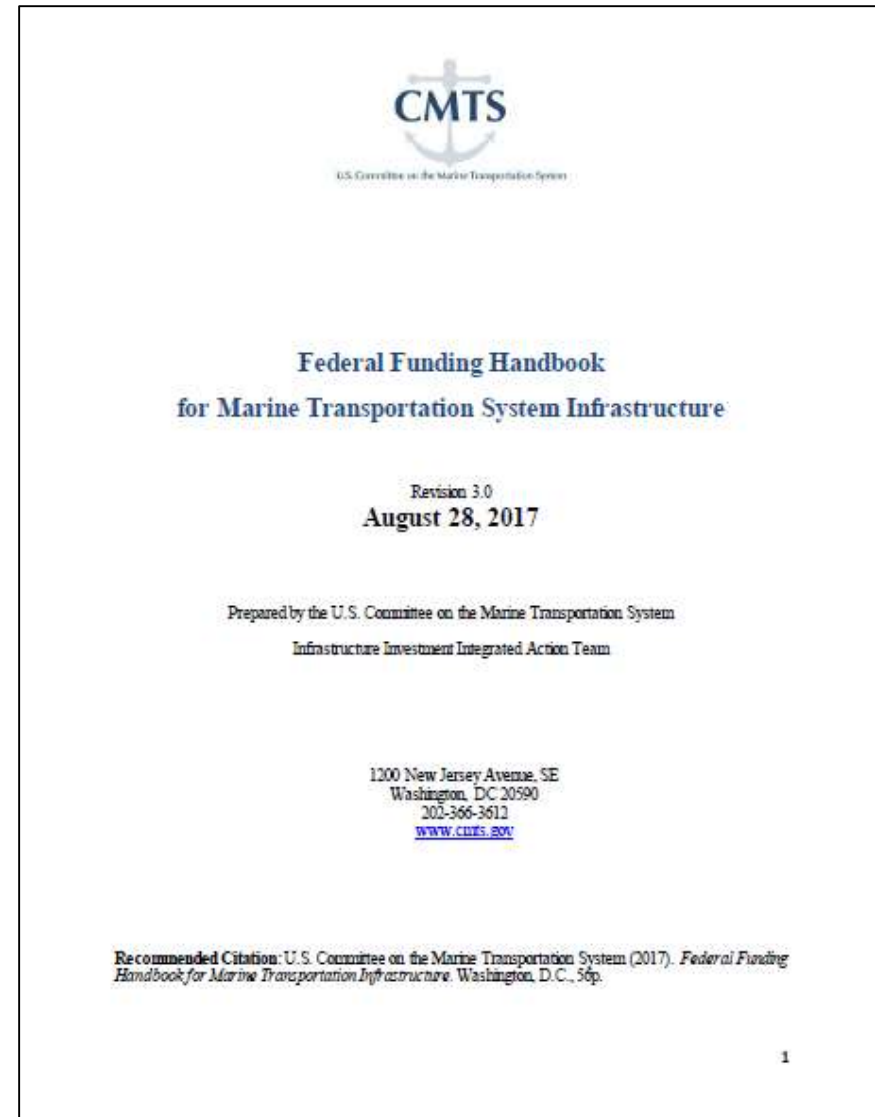
- 3.1. *Compendium of Federal P3 Authorities for Infrastructure Investments*, CMTS, Sept. 2015.
- 3.2. *Public-Private Partnerships for Transportation: Categorization and Analysis of State Statutes*, National Conference of State Legislatures, Kevin Pula, Jan. 2016.
- 3.3. *Public-Private Partnership Concessions for Highway Projects: A Primer*, Department of Transportation, Federal Highway Administration, Innovative Program Delivery, Oct. 2015.
- 3.4. *Value for Money Analysis for Public-Private Partnerships*, Department of Transportation, Federal Highway Administration, Innovative Program Delivery, 2013.
- 3.5. *P3 Toolkit*, Department of Transportation, Federal Highway Administration, 2016.
- 3.6. *An Economic Framework for Comparing Public-Private Partnerships and Conventional Procurement*, U.S. Department of the Treasury, Office of Economic Policy, May 2016.

Funding Handbook

[Link](#) to download

Purpose & History

- Resource for public & private stakeholders
- Compilation of MTS-related Federal programs
- Originally published in 2013 (version 1)
- Updated in 2015 (version 2)
- August 28, 2017 (version 3)



DOC/ECONOMIC DEVELOPMENT ADMINISTRATION

PLANNING PROGRAM & LOCAL TECHNICAL ASSISTANCE PROGRAM

Category: Economic Development

Funding Method: Grant/Cost-Match

Purpose: To provide investments supporting construction, non-construction, technical assistance, and revolving loan fund projects under EDA's Planning and Local Technical Assistance programs. Under the Planning program EDA assists eligible recipients in creating regional economic development plans designed to build capacity and guide the economic prosperity and resiliency of an area or region.

Who is Eligible: EDA is not authorized to provide grants or cooperative agreements to individuals or to for-profit entities. Requests from such entities will not be considered for funding. Eligible applicants for EDA financial assistance under the Public Works and EAA programs include a(n): (i) District Organization of a designated Economic Development District; (ii) Indian Tribe or a consortium of Indian Tribes; (iii) State, county, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; (iv) institution of higher education or a consortium of institutions of higher education; or (v) public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State. See section 3 of PWEDA (42 U.S.C. § 3122) and 13 C.F.R. § 300.3.

Eligibility Criteria: Awards under EDA's Planning and Local Technical Assistance programs will demonstrate the probability of effecting positive economic development impacts within distressed regions and help promote regional economic resiliency. Although meeting specific distress criteria is not a prerequisite for funding under the two programs, the economic distress level of the region impacted by a project serves as the basis for establishing the EDA share of the total cost of a project. Also, as part of this program, EDA supports Partnership Planning investments to facilitate the development, implementation, revision, or replacement of Comprehensive Economic Development Strategies (CEDS), which articulate and prioritize the strategic economic goals of recipients' respective regions. In general, EDA provides Partnership Planning grants to the designated planning organization (e.g., District Organization) serving EDA-designated Economic Development Districts to enable these organizations to develop and implement relevant CEDS. In addition, EDA provides Partnership Planning grants to Indian Tribes to help develop and implement CEDS and associated economic development activities. The Planning program also helps support planning organizations, including District Organizations, Indian Tribes, and other eligible recipients, with Short-Term and State Planning investments designed to guide the eventual creation and retention of high-quality jobs, particularly for the unemployed and underemployed in the Nation's most economically distressed regions. The Local Technical Assistance program strengthens the capacity of local or State organizations, institutions of higher education, and other eligible recipients to undertake and promote effective economic development programs through projects such as feasibility analyses and impact studies.

Program POC: David Ives, davidives@eda.gov

Further Information: <http://www.eda.gov/funding-opportunities/>

How to Apply: Please see the corresponding FFO for specific application requirements. EDA strongly encourages electronic submissions of applications through Grants.gov.

Authorization: Sections 203 (42 U.S.C. § 3143) and 207 (42 U.S.C. § 3147) of PWEDA,

Handbook Overview

Program Title (official title)

Keywords: This field consists of index terms and descriptor words which enable an efficient search of the document.

Assistance Type: Discretionary Grant, Competitive Grant, Grant/Cost-Match, Formula Grant, Technical Assistance, Cooperative Agreement, Financial Incentive, Tax Deferral, Direct Loan, Bonds

Agency: Agency and Department, when applicable

Purpose: This field explains the purpose of the funding program. This information is sourced from the funding program and/or authorizing legislation.

Award Ceiling: This field contains the maximum dollar amount indicated for an individual award, when available.

Authorization: Authorizing legislation. In some cases, the applicable act is provided.

Website: Direct link to program page and Grants.gov website, when available.

Contact Information: Program manager or primary point of contact to obtain further information.

- Programs organized by category
- User's Guide
- Standardized & updated information fields
 - [Active links](#)
- FAQ
- Appendices
 - [Glossary of Terms](#)
 - [Hyperlinks direct to appropriate location](#)

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68. Railroad Rehabilitation & Improvement Finance (RRIF)

Keywords: Transportation, Rail

Assistance Type: Direct Loans/Loan Guarantees

Agency: Department of Transportation, Federal Railroad Administration

Purpose: To provide financing for the development of railroad infrastructure. Under this program the FRA Administrator is authorized to provide direct loans and loan guarantees up to \$35 billion to finance development of railroad infrastructure. Not less than \$7 billion is reserved for projects benefiting freight railroads other than Class I carriers. The funding may be used to:

- Acquire, improve, or rehabilitate intermodal or rail equipment or facilities, including track, components of track, bridges, yards, buildings and shops;
 - Refinance outstanding debt incurred for the purposes listed above; and
 - Develop or establish new intermodal or railroad facilities
- Direct loans can fund up to 100 percent of a railroad project with repayment periods of up to 35 years and interest rates equal to the cost of borrowing to the government.

Award Ceiling: N/A

Authorization: Title V of the Railroad Revitalization and Regulatory Reform Act of 1976, as amended (45 U.S.C. § 821 et seq.)

Website: <https://www.transportation.gov/buildamerica/programs-services/rrif>

Contact Information: BuildAmerica@dot.gov, 202-366-2300

69. Section 129 Loans – Federal Credit Assistance Tools

Keywords: Transportation, Roads

Assistance Type: Loan Leverage Tool

Agency: Department of Transportation, Federal Highway Administration

Purpose: To allow states to leverage additional transportation resources and recycle assistance to other eligible projects. States have the flexibility to negotiate interest rates and other terms of Section 129 loans.

Award Ceiling: N/A

Authorization: Intermodal Surface Transportation Efficiency Act, 1991; amended by the National Highway System Designation Act, 1995

Website: http://www.fhwa.dot.gov/ipd/finance/tools_programs/federal_credit_assistance/section_129/index.htm

Contact Information: Mark Sullivan, Team Leader, FHWA Office of Innovative Program Delivery, Mark.sullivan@dot.gov, 202-366-5785