



TWIC Transportation Worker Identification Credential

AAPA Port Operations, Safety &
Information Technology Seminar

Seattle, WA

June 10, 2009

Mr. John Dwyer

U.S. Coast Guard, Sector Seattle



Transportation
Security
Administration



U.S. COAST GUARD



Statistics as of June 3, 2009

42 of 42 COTP Zones in Compliance
All MTSA regulated Vessels & OCS Facilities
Enrollments: 1,224,930
Activations: 1,043,317
Weekly Enrollments: 11,000
Weekly Activations: 25,000
Merchant Mariners*: 155,000





TWIC Dashboard (Page 1 of 3)

May 28 2009



Explanation of Dashboard

The TWIC Dashboard provides point-in-time program information on:

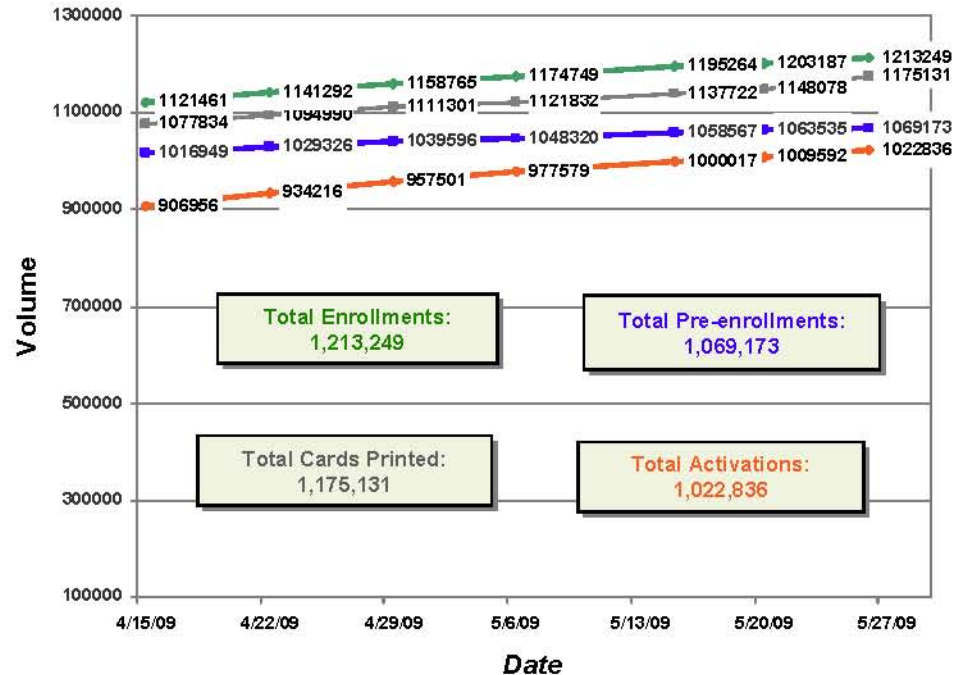
- Enrollment and adjudication-related statistics
- Graph depicting pre-enrollment, enrollment, cards printed & activated trends
- Contact information for TWIC resources
- Total enrollments and activations by location (including total enrollments broken out by occupation type)

Program Statistics

Enrollment/Activation	Measurement (as of 05/27/09)
Pre-Enrollments	1,069,173
Enrollments	1,213,249
Cards Printed	1,175,131
Cards Activated	1,022,836
Average Enrollment Time	8.82

Security Threat Assessment	Measurement (as of 05/24/09)
Initial Disqualification Letters	49,130
Appeals Requested	23,628
Appeals Granted	20,081
Waivers Requested	3,497
Waivers Granted	1,795
ALJ Review Requested/Granted	12
Final Disqualification Letters	221
Number of Expired IDTAs	11,636

Enrollment Trending



TWIC Information and Resources

Help Desk: 1-866-DHS-TWIC (1-866-347-8942)

8:00 AM ET - 12:00 AM ET

<http://twicinformation.tsa.dhs.gov/twicinfo/contact.jsp>

Website: www.tsa.gov/twic



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May 28, 2009



Total Enrollments (with occupation stats) and Activations by Location
Data as of 05/22/09

Enrollment Center Location	Total Enrollments	Total Activations	Total Enrollments by Occupation Type								
			Drayage Truckers	Facility Employee	Longshore men	Merchant Mariners	Rail Crew	Truck Drivers	Vessel Crew	No Occupation Specified	Other
Albany, NY	4,790	4,063	27	398	49	612	54	2,338	20	13	1,379
Alpena, MI	217	197	0	110	3	68	0	27	3	2	14
American Samoa	1,083	218	0	487	63	36	0	3	61	324	109
Anacortes, WA	9,655	8,562	9	2,441	19	909	2	445	79	915	4,636
Anchorage, AK	6,988	5,678	54	530	310	1,167	149	506	60	2,409	1,798
Ashtabula, OH	1,580	1,335	3	122	30	215	51	684	0	195	300
Bangor, ME	2,943	2,581	156	85	42	1,485	2	333	36	309	334
Baton Rouge, LA	57,136	49,230	54	12,970	70	1,641	327	2,219	389	20,615	18,651
Bay City, MI	1,343	1,217	2	76	0	152	0	694	10	14	395
Beaumont, TX	12,465	10,444	30	1,486	40	615	81	1,070	152	3,553	6,238
Benicia, CA	7,252	5,646	5	3,324	38	164	29	229	15	125	3,323
Boston, MA	6,369	7,323	145	658	334	2,199	2	2,013	49	549	2,414
Bourne, MA	5,757	5,110	25	772	35	2,671	2	747	32	10	1,453
Bridgeport, CT	1,446	1,362	9	116	6	267	0	544	2	12	468
Brownsville, TX	7,458	5,257	19	212	111	477	32	1,278	65	244	5,000
Brunswick, GA	4,342	3,669	19	406	275	297	29	1,472	28	283	1,533
Buffalo, NY	2,458	1,622	26	60	6	362	10	972	4	678	320
Burlington, VT	642	501	26	32	2	214	0	262	20	20	67
Calcutta, MI	912	200	0	40	4	102	0	19	0	2	45
Camden, NJ	5,761	4,725	61	378	108	292	3	2,156	4	29	2,483
Charleston, SC	14,062	12,457	363	1,234	1,291	2,281	153	5,232	64	209	3,236
Chattanooga, TN	5,059	4,069	37	437	1	371	38	2,090	29	730	1,326
Chester, PA	2,420	2,160	26	105	21	60	6	657	6	21	1,499
Chicago, IL	10,112	8,974	55	3,785	36	553	260	1,335	147	324	3,587
Cincinnati, OH	5,436	4,656	32	1,204	6	341	70	2,266	151	236	1,130
Cleveland, OH	6,348	4,553	32	243	10	451	24	1,196	21	2,523	836
Cobscook Bay, OR	926	750	1	23	63	1,339	3	193	12	3	18
Coram, NY	1,560	1,278	9	68	2	604	0	359	17	1	500
Corpus Christi, TX	25,073	21,590	23	6,269	103	1,336	92	1,543	233	3,252	12,222
Decatur, AL	4,667	3,649	150	323	0	136	6	2,230	36	243	1,441
Detroit, MI	4,293	3,117	14	141	11	445	14	622	23	755	2,066
Duluth-Superior, MN	4,488	3,936	24	667	74	596	414	1,147	18	352	1,206
Dundalk, MD	20,856	17,896	0	1,503	1,416	2,227	155	7,167	70	948	6,774
Escanaba, MI	365	302	0	16	0	59	0	33	5	206	45
Eureka, CA	302	265	1	16	21	68	1	56	3	6	110
Evansville, IN	5,773	5,162	33	1,242	1	177	4	932	60	658	2,477
Everett, WA	3,710	3,136	10	169	154	1,138	101	480	75	38	1,555
Freeport, TX	11,166	9,080	24	1,652	100	300	92	1,233	66	1,515	6,234
Galveston, TX	1,981	1,626	0	259	93	275	9	59	36	403	876
Green Bay, WI	2,651	2,350	14	277	2	605	49	1,686	12	38	368
Greenville, MS	1,643	1,346	2	62	2	120	2	138	26	1,062	219
Guam	2,291	1,766	39	446	26	679	0	133	23	397	646
Gulfport, MS	6,136	6,634	59	466	199	1,273	18	1,367	189	1,612	2,931
Hilo, HI	3,569	2,680	23	195	93	348	0	609	30	200	2,070
Honolulu, HI	13,095	10,629	151	813	192	2,375	1	1,597	148	3,066	4,753
Houma, LA	10,373	9,124	0	924	68	1,132	1	699	1	3,711	2,582
Houston #2	31,738	26,344	383	1,668	310	765	568	4,287	185	8,388	15,183
Houston, TX	62,987	47,523	475	11,779	2,071	3,643	475	9,520	426	4,352	30,404
Huntington, WV	11,620	10,226	30	1,696	17	682	200	1,678	508	1,822	4,727
Indiana Harbor, IN	5,594	5,501	28	700	59	275	101	1,656	20	1,714	2,241
International Falls, MN	2	2	0	0	0	0	0	0	0	0	0
Jacksonville, FL	17,740	15,384	326	1,108	503	2,141	44	2,070	103	7,968	3,455
Joliet, IL	9,081	7,956	25	1,062	5	295	134	2,656	170	211	4,295
Juneau, AK	2,768	2,447	2	499	34	1,303	11	72	93	282	477
Kahului, HI	3,747	3,303	68	811	61	613	1	375	78	210	1,320
Kansas City, MO	3,127	2,373	22	27	0	188	7	1,734	11	285	653
Kauai, HI	2,006	1,736	5	126	16	356	0	128	69	174	1,131
Key West, FL	2,074	1,757	0	115	3	1,651	0	21	61	31	192
King of Prussia, PA	2,785	2,243	1	172	6	172	1	657	1	114	83
Lafayette, LA	14,601	10,819	18	2,095	335	665	1	1,590	204	539	9,452
Lake Charles, LA	29,030	25,006	29	3,102	19	1,360	49	533	259	17,477	6,202
LaPorte, TX	15,795	12,327	67	2,317	38	226	27	1,323	58	3,626	7,911
Lindenhurst, NY	2,862	2,304	17	76	0	1,148	2	744	14	349	512
Little Rock, AR	3,572	2,965	24	114	6	172	7	1,784	50	692	723
Long Beach, CA	37,746	30,620	1,261	3,044	4,207	2,626	637	6,757	202	2,605	14,205
Longview, WA	2,652	2,270	1	3	218	254	27	374	27	2	1,407
Lorain, OH	380	434	4	26	0	51	2	73	2	181	44
Los Angeles, CA	10,033	9,276	383	544	3,359	742	26	1,996	41	1,022	1,919
Louisville, KY	6,643	5,646	37	1,281	3	417	186	2,420	254	660	1,428
Marcus Hook, PA	2,300	2,070	5	664	3	60	3	375	2	169	999
Marine City, MI	994	830	2	121	0	226	3	324	9	104	207
Marietta, MI	342	303	0	303	0	71	7	3	1	19	115
Memphis, TN	7,993	6,786	30	750	0	1,149	141	1,650	981	1,075	2,016
Miami, FL	12,540	10,794	539	1,069	751	1,627	10	2,534	147	1,030	6,033
Milwaukee, WI	3,164	2,691	165	162	42	605	74	1,614	41	6	656
Minneapolis, MN	3,663	2,778	4	169	0	240	4	617	34	2,227	368



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Mobile, AL	32,569	27,736	149	2,397	357	3,941	180	2,098	657	12,642	10,148
Morehead City, NC	3,600	3,191	11	162	73	1,483	37	924	43	33	844
Morgan City, LA	6,992	7,298	2	2,464	19	779	2	612	365	923	3,526
Muskegon, MI	1,759	1,493	3	125	1	625	1	641	32	2	335
Nashville, TN	5,250	4,556	47	643	1	514	15	1,354	393	1,208	872
New Castle, DE	2,430	2,179	6	448	5	152	3	399	3	153	1,250
New Haven, CT	2,648	2,239	12	359	48	414	2	1,334	7	3	459
New London, CT	2,806	2,397	10	324	36	1,163	10	640	41	12	670
New Orleans, LA	45,680	38,841	114	6,207	403	3,828	73	1,041	678	20,615	12,721
Newport News, VA	5,681	6,020	104	455	238	1,135	81	1,355	55	342	2,083
Niagara, AK	2,532	2,155	1	740	18	875	0	137	29	113	925
Norfolk, VA	19,242	17,059	666	1,302	1,245	4,204	451	1,720	61	6,650	3,721
NY/NJ - New York, NY	20,605	17,057	1,101	1,536	1,473	1,592	155	6,675	70	1,903	5,900
NY/NJ - South Hackensack, NJ	9,656	6,210	23	932	262	4,319	0	531	155	914	2,720
NY/NJ - Staten Island, NY	17,359	15,674	311	2,655	1,352	1,215	30	2,972	41	4,179	4,574
Oakland, CA	20,456	17,954	689	2,945	938	2,444	49	4,101	103	3,010	6,177
Ontonagon, MI	35	29	0	2	2	2	0	0	0	0	6
Oswego, NY	3	1,378	0	70	24	416	0	377	3	478	5
Paducah, KY	4,494	3,910	12	356	4	652	24	513	646	1,772	515
Palm Beach, FL	5,613	4,811	343	649	50	2,000	2	564	254	9	1,542
Panama City, FL	5,379	4,496	7	513	39	1,754	26	1,006	241	153	1,630
Pasco, WA	2,999	2,312	49	66	2	311	184	869	12	796	569
Paulsboro, NJ	5,628	5,010	23	1,107	14	426	33	1,152	74	150	2,669
Pennsbury Manor, PA	2,664	2,220	15	117	55	206	4	716	5	105	1,350
Peoria, IL	3,726	3,163	35	616	5	336	13	2,084	294	26	317
Perth Amboy, NJ	5,638	4,697	61	174	13	744	40	1,344	21	147	3,084
Philadelphia, PA	17,085	15,477	149	3,229	639	1,126	67	2,549	74	1,425	7,145
Pittsburg, PA	7,531	6,413	25	1,043	7	605	13	1,239	195	2,551	1,692
Point Comfort, TX	2,725	2,366	2	668	1	124	12	116	25	22	1,637
Ponce, PR	3,487	2,828	628	1,097	300	179	0	270	20	13	980
Port Arthur, TX	15,637	12,375	18	3,711	31	656	63	596	109	2,376	8,073
Port Canaveral, FL	8,048	7,031	14	573	104	1,880	54	607	64	2,591	2,094
Port Everglades, FL	13,969	12,303	335	1,283	559	3,243	3	1,344	152	4,431	3,159
Port Fourchon, LA	12,325	11,488	6	2,236	486	4,798	1	735	545	58	3,955
Port Hueme, CA	3,953	2,859	28	421	192	421	1	1,065	40	998	912
Port Manatee, FL	4,655	3,901	15	263	67	1,646	2	1,508	47	320	600
Portland, ME	3,426	3,101	13	202	44	1,368	0	516	27	648	507
Portland, OR	10,294	9,003	249	1,035	614	1,645	444	2,498	134	299	3,136
Portsmouth, NH	3,034	2,712	4	514	10	852	0	915	12	41	553
Providence, RI	6,596	4,945	17	342	113	1,577	30	1,736	44	14	1,723
Richmond, CA	7,137	6,163	16	1,038	72	540	67	375	24	908	4,097
Riverhead, NY	639	549	2	35	0	493	0	104	5	7	193
Rochester, NY	720	549	3	7	0	207	1	160	51	250	91
Sacramento, CA	4,024	3,199	38	95	58	264	56	1,193	19	763	1,538
Saginaw, MI	594	317	0	317	2	194	0	33	3	0	319
Salisbury, MD	2,297	1,893	4	72	1	1,308	2	241	15	439	217
San Diego, CA	9,191	7,669	70	1,300	265	3,165	19	1,364	195	625	2,188
San Francisco, CA	2,328	1,872	3	179	222	513	9	70	38	305	969
San Juan, PR	9,657	7,940	377	1,465	508	321	71	1,339	71	2,687	2,687
Sandusky, OH	1,930	1,290	0	29	41	426	6	496	32	78	192
Sault Ste. Marie, MI	350	312	0	312	0	241	239	241	5	47	0
Savannah, GA	16,472	16,517	484	630	1,361	623	176	6,163	45	1,484	7,097
Seattle, WA	13,904	11,784	170	1,154	1,105	3,189	459	2,254	345	632	4,580
South Louisiana, LA	16,092	13,535	18	2,396	37	919	98	906	262	5,016	6,418
St. Croix, USVI	4,772	4,217	10	3,837	9	131	1	57	34	55	637
St. Ignace, MI	482	417	0	107	3	243	0	30	0	12	137
St. Louis, MO	12,958	10,162	50	1,076	3	959	2	7,690	779	805	1,552
St. Paul, MN	1,365	1,273	17	58	2	147	9	252	11	689	200
St. Thomas, USVI	2,228	1,764	0	339	36	699	1	143	253	9	748
Stockton, CA	6,949	4,704	135	345	175	65	443	2,649	6	521	2,160
Tacoma, WA	13,245	11,747	323	1,426	1,348	2,255	143	3,042	66	492	4,116
Tampa, FL	17,604	15,830	31	1,653	183	2,675	17	2,670	116	5,076	4,612
Terminal Island, CA	17,883	13,559	1,347	507	4,621	345	71	6,319	30	435	4,207
Texas City, TX	17,157	13,691	13	2,659	132	871	48	635	153	3,445	6,971
Toledo, OH	4,487	3,979	7	498	60	476	35	1,501	27	371	1,492
Traverse City, MI	838	724	1	55	1	461	0	165	9	0	124
Tulsa, OK	7,201	6,426	30	301	8	2,011	8	3,937	64	43	2,556
Vaughan, AK	1,383	1,000	0	314	9	194	0	0	0	0	615
Vancouver, WA	3,262	2,771	39	122	257	174	491	894	35	10	1,239
Vicksburg, MS	10,070	6,616	28	555	40	1,467	63	1,331	371	3,297	2,920
Victoria, TX	4,637	4,109	14	678	3	267	44	333	39	56	3,223
Wilmington, DE	12,375	10,225	117	1,584	259	686	81	3,201	22	317	6,110
Wilmington, NC	6,505	6,210	47	635	21	1,113	47	2,113	32	0	3,344
Total	1,205,566	1,012,061	14,757	163,361	36,728	138,476	9,667	208,370	15,265	210,767	415,037



And the survey says....

- Estimated populations in general not true barometer
- No major disruptions to commerce or port operations
- Facilities 85-90% of workers with TWIC in hand during 1st 3 days
- Vessels 92% of mariners & vessel crew with TWIC in hand
- Preplanning/compliance, coordination & industry flexibility key
- Interim Policies and equivalencies needed
- Surges difficult at times to predict across COTP zones
- Clear articulation of escorting (trucks, rail, labor, etc) was critical



U.S. COAST GUARD



TWIC Verification

- Coast Guard TWIC Enforcement will be conducted in accordance with MTSA facility security inspections requirements:
 - **Announced** - One announced Annual Compliance exam within each calendar year;
 - **Unannounced** - At least one unannounced Facility Security Spot Check for each facility within each calendar year; and
- MTSA Vessel and Mariner checks for TWIC will be carried out during the normal inspection cycle for applicable MTSA regulated and non-MTSA regulated vessels.
 - **Required Inspections** - Vessel and licensed mariners should be checked during required vessel inspections.
 - **Law Enforcement Boardings** – Mariners will be checked during LE Boardings with guidance recently issued via ALCOAST





TWIC Check

- Three elements of a TWIC compliance check:
 - **Verification** - Individuals are who they say they are
 - **Authentication** - Card is legitimate & official
 - **Validation** - Card has not expired, been revoked, etc...
- Coast Guard checks short term through visual inspection but long term through the use of handheld readers





Compliance/Enforcement

- Individuals who failed to obtain a TWIC by the compliance date will be ineligible for unescorted access to MTSA facilities and/or vessels.
- The Coast Guard will continue using a scalable & progressive enforcement approach to ensure compliance with the TWIC regulations:
 - On-site education and correction
 - Letters of Warning
 - Notice of Violation
 - Operational restrictions, to include restriction or suspension of operations
 - Civil or Criminal Penalties
- TWIC is component of access control and one element of the overall MTSA security regime and layered security
- 42 COTP zones in compliance to date = 3200+ Facilities= Very few violations





Fraudulent TWIC Incident

- **Basic Facts**

- Three individuals attempting access
- Two fraudulent TWICs
- Security gate guards
- Illegal immigrants

- **Resultant Concerns**

- Larger problem? No – only dozen cases nationally
- Card versions
- Security features training

- **Other Cases**

- Safeway Card
- Picture Change
- Deceased Brother





TWIC Implementation Guidance

MTSA & Safe Port Act

Navigation & Vessel Inspection Circular (NVIC) 03-07

Policy Advisory Council Decisions

- 15 Published (05-09 most recent)
- Pending (Existing Physical Access Control System, New TWIC reader, Truck cab/age & cruise ship crew)



See Homeport Website: TWIC - Policy



U.S. COAST GUARD



TWIC Reader Rulemaking

- SAFE Port Act of 2006 – TWIC 2 final rule must be implemented 2 years after commencement of pilot program
 - Pilot testing currently underway and initial data are becoming available
- ANPRM Status
 - Published in the Federal Register March 27, 2009; 60 day public comment period closed May 26, 2009.
 - 1 Public Meeting – May 6, 2009 (Washington, DC)
- NPRM
 - Informed by ANPRM comments & initial pilot data
 - Multiple public meetings are anticipated
- Final Rule
 - Informed by NPRM comments & consistent with the findings of the pilot program





TWIC 2 - Reader Rule

- Preliminary thoughts on reader requirements
 - Not proposing any specific regulatory changes until NPRM
 - **Risk Based Ranking** through Analytical Hierarchy Process
 - Max Consequence, Criticality to Nation, & TWIC Utility
 - **Three Risk Groups***
 - Lowest Group (C)– Visual ID
 - Middle Group (B) – Biometric 1/month & Random
 - Highest Group (A) – Biometric at each entry
 - **Recurring unescorted access**
 - Up to 14 TWIC Holders (TSAC recommendation)
- *Propose changes with increased MARSEC increases





ANPRM Public Meeting/Docket

- Docket/Meeting specifics: Public meeting was held at The Crystal City Sheraton Hotel on May 6, 2009 to receive both oral and written comments.
- One may also submit written comments: Identified by docket number *USCG-2007-28915* until 26MAY09 using any one of the following methods:
 - (1) Federal eRulemaking Portal: <http://www.regulations.gov>.
 - (2) Fax: 202-493-2251.
 - (3) Mail: Docket Management Facility (M-30), U.S. Department of Transportation, West Building Ground Floor, Room W12-140, 1200 New Jersey Avenue SE, Washington, D.C. 20590-0001.
 - (4) Hand delivery: Same as mail address above, between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays. The telephone number is 202-366-9329.





Regulatory/Policy Projects

- Coast Guard is currently considering:
 - General update to 33 CFR Subchapter H (Maritime Security Regulations)
 - Incorporate lessons learned since publication in 2004
 - Address new statutory requirements
 - Updating Cruise Ship Security Regulations
 - Consolidating the pre-MTSA regulations (33 CFR parts 120 and 128) into more recent 33CFR101-106
 - Promote consistency in screening standards for cruise ships and cruise ship terminals
- NVIC 03-03 Change 2 (28 February): Implementation Guidance for MTSA Facility Regulations –updated for TWIC, Safe Port Act





More Information

Questions?

- TSA website – www.tsa.gov/twic
 - Enrollment Information
 - Pilot Program
 - FAQs
- Enrollment Contractor – www.twicinformation.com
- TWIC Helpdesk – 1.866.DHS.TWIC
- USCG website – homeport.uscg.mil
 - Final Rulemakings, Compliance Notices & Framework
 - Navigation & Vessel Inspection Circular (NVIC) 03-07, 03-03
 - Policy Advisory Council (PAC) Decisions
 - FAQs
- USCG Helpdesk – 1.877.MTSA.AID, Option 1 or uscg-twic-helpdesk@uscg.mil

