USCG/CBP NATIONAL PROTOCOLS FOR MTS RECOVERY
Overview

• Purpose
• Goals
• Stakeholders Engaged
• Description of MTS Recovery Protocol
• Results
Purpose of MTS Recovery Protocol

- Provide a forum for joint intergovernmental dialogues and joint government/private sector dialogues to identify and act on important issues to facilitate rapid MTS recovery and resumption of commerce.
- Assist senior-level decision makers by providing a process to collect and disseminate information to understand the status of the national MTS and to facilitate joint decision-making.
- Assist senior-level decision makers by providing recommendations for national-level priorities for recovery of the MTS and resumption of trade. The priorities may include cargo or vessel priorities, or strategic actions necessary to facilitate rapid recovery of the MTS and resumption of trade.
Goals of MTS Recovery Protocol

- Support NSPD-41/HSPD-13 initiatives
- Support MTSA requirement for a plan to ensure cargo flow is efficiently and quickly reestablished through U.S. ports after a Transportation Security Incident (TSI)
- Fulfill SAFE Port Act requirement to provide protocol for expeditious resumption of trade in a post TSI environment
- Consider collateral impacts of MTS incident on international commerce
- Facilitate federal decision-making actions
- Help to implement the MIRP requirements
- Define Coast Guard and CBP interactions with other government agencies in recovery effort

Accomplish goals by establishing a communications process at national level
USCG/CBP as Facilitators

- **Facilitate** private sector actions to manage the impacts of the incident on the flow of trade
- Collect information regarding the shift of commercial maritime traffic so that we can **plan federal asset shifts**

![Diagram showing the flow of information and resource redeploymnet between Industry, USCG/CBP, Port 1, Port 2, and Carrier Mitigation Plans.](image-url)
Industry Stakeholders Engaged in MTS Recovery Protocols

**PRIMARY SUPPORT GROUP**

Consultation:
- World Shipping Council
- Chamber of Shipping of America
- Int’l. Assn. of Independent Tanker Owners
- American Waterway Operators
- Cruise Lines Int’l. Assn. (ICCL)
- Passenger Vessel Assn.
- Baltic and Int’l. Maritime Council (BIMCO)
- Intercargo

**SECONDARY SUPPORT GROUP**

Consultation:
- American Assn. of Port Authorities
- Inland Rivers Ports & Terminals
- National Assn. of Waterfront Employers
- National Customs Brokers & Forwarders Assn.
- Association of American Railroads
- American Trucking Association
- American Assn. of Exporters & Importers
- U.S. Chamber of Commerce
- Business Roundtable
- Retail Industry Leaders Association
Federal Government Stakeholders Engaged in MTS Recovery Protocols

Department of Homeland Security
- USCG
- CBP
- TSA

Department of Defense
- SDDC
- MSC
- USACE

Department of Transportation
- FHWA
- MARAD
- FRA

Department of Justice
- FBI

Department of State

Department of Commerce

Department of Energy
- FERC
Initial MTS Recovery Protocol Actions

1. Decide to activate Protocols (USCG/CBP)
2. Determine MTS constraints from other federal partners
3. Communicate NROM decisions and constraints to Primary Support Group
4. Request Primary Support Group mitigation plans
5. Identify critical cargo movements from DOD (SDDC, MSC) and FERC
6. Inform Primary Support Group of critical cargo movements
7. Identify carrier mitigation plans from Primary Support Group
8. Inform TSA and DOT (FRA, FHWA) of carrier mitigation plans
Initial MTS Recovery Protocol Actions

9. Identify inland carrier capabilities and constraints
10. Identify CBP and USCG resources available for deployment
11. Notify Primary Support Group of inland carrier and DHS capabilities
12. Confirm carrier mitigation plans from Primary Support Group

13. Inform broad community of mitigation plans
   • Federal – DHS, DOD, DOT, DOJ, DOE, DOC
   • State and Local Governments
   • Industry – Secondary Support Group
   • Public via media

14. Redeploy CBP and USCG resources
Initial MTS Recovery Protocol Actions

15. Identify additional security requirements
16. Coordinate additional security with DHS, DOJ, State and Local Law Enforcement
17. Monitor status of MTS and identify industry concerns
Expeditious MTS Recovery

By following the MTS Recovery Protocol, the USCG, CBP, and other federal agencies, informed with pertinent and timely information from affected stakeholders, will communicate more efficiently and effectively, resulting in a more expeditious MTS recovery that mitigates the detrimental effects of a disruption in trade.
COMDTINST

Provides guidance for coordination of maritime recovery operations following an incident:

- Defines CG roles and responsibilities to facilitate MTS recovery
- Establishes CG organizational elements to address MTS recovery within a NIMS structure
  - Marine Transportation System Recovery Unit (MTSRU) at national, regional or district, & local levels
  - MTS Recovery Assist Teams (MTSRATs)
- Promulgates Essential Elements of Information (EEI) baseline
- Describes MTS stakeholder communication procedures to support high level coordination of MTS Recovery Protocol
- Provides integrated planning for MTS recovery
- Ensures that MTS recovery is critical element of planning at all organizational levels within the Coast Guard
# Protocol Participation Matrix

## Protocol Process Stage

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<tbody>
<tr>
<td></td>
<td>Consultation and Notification</td>
<td>Consultation</td>
<td>DHS</td>
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<tr>
<td>1. Decide whether to activate Protocol</td>
<td>WTC</td>
<td>COG</td>
<td>INTB</td>
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<tr>
<td>2. Determine NTS constraints from Federal Agency Partners</td>
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<td>3. Communicate MRM Decisions</td>
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<td>4. Establish Critical Cargo Movements</td>
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<td>5. Implement Critical Cargo Movements</td>
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<td>6. Communicate Critical Cargo Movements</td>
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<td>7. ID Critical Cargo Movements</td>
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<td>8. Inform DOT &amp; TSA of Critical Cargo Plans</td>
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<td>9. ID Inland Carrier Capacities/Constraints</td>
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<td>10. ID CBP &amp; TSC resource availability</td>
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<td>11. Communicate DHS capabilities</td>
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<td>12. Confirm Critical Mitigation Plan</td>
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<td>14. Reassign DHS Resources</td>
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<td>15. ID Additional Security Resource needs</td>
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<td>17. Monitor Status of NTS</td>
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