TWIC
Transportation Worker Identification Credential

AAPA Port Operations, Safety & Information Technology Seminar
Seattle, WA
June 10, 2009

Mr. John Dwyer
U.S. Coast Guard, Sector Seattle
Statistics as of June 3, 2009

42 of 42 COTP Zones in Compliance

All MTSA regulated Vessels & OCS Facilities

Enrollments: 1,224,930
Activations: 1,043,317

Weekly Enrollments: 11,000
Weekly Activations: 25,000

Merchant Mariners*: 155,000
**Explanation of Dashboard**
The TWIC Dashboard provides point-in-time program information on:
- Enrollment and adjudication-related statistics
- Graph depicting pre-enrollment, enrollment, cards printed & activated trends
- Contact information for TWIC resources
- Total enrollments and activations by location (including total enrollments broken out by occupation type)

**Program Statistics**

<table>
<thead>
<tr>
<th>Enrollment/Activation</th>
<th>Measurement (as of 05/27/09)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pre-Enrollments</td>
<td>1,069,173</td>
</tr>
<tr>
<td>Enrollments</td>
<td>1,213,249</td>
</tr>
<tr>
<td>Cards Printed</td>
<td>1,175,131</td>
</tr>
<tr>
<td>Cards Activated</td>
<td>1,022,836</td>
</tr>
<tr>
<td>Average Enrollment Time</td>
<td>8.82</td>
</tr>
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</table>

**Security Threat Assessment**

<table>
<thead>
<tr>
<th>Security Threat Assessment</th>
<th>Measurement (as of 05/24/09)</th>
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</thead>
<tbody>
<tr>
<td>Initial Disqualification Letters</td>
<td>49,130</td>
</tr>
<tr>
<td>Appeals Requested</td>
<td>23,628</td>
</tr>
<tr>
<td>Appeals Granted</td>
<td>20,081</td>
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<td>Waivers Requested</td>
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<td>ALJ Review Requested/Granted</td>
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<tr>
<td>Final Disqualification Letters</td>
<td>221</td>
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<tr>
<td>Number of Expired IDTAs</td>
<td>11,636</td>
</tr>
</tbody>
</table>

**Enrollment Trending**

- Total Enrollments: 1,213,249
- Total Pre-enrollments: 1,069,173
- Total Cards Printed: 1,175,131
- Total Activations: 1,022,836

**TWIC Information and Resources**

Help Desk: 1-866-DHS-TWIC (1-866-347-8942)
8:00 AM ET - 12:00 AM ET
http://twicinformation.tsa.dhs.gov/twicinfo/contact.jsp
Website: www.tsa.gov/twic
### TWIC Dashboard (Page 2 of 3)
**May 28, 2009**

**Total Enrollments (with occupation stats) and Activations by Location**

*Data as of 05/22/09*

<table>
<thead>
<tr>
<th>Location</th>
<th>Total Enrollments</th>
<th>Total Activations</th>
<th>Drivers</th>
<th>Officer</th>
<th>Merchant Mariner</th>
<th>Rail Crew</th>
<th>Truck Driver</th>
<th>Vessel Crew</th>
<th>No Occupation Served</th>
<th>Total Occupied</th>
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<td>1,280</td>
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<tr>
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<td>1,280</td>
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<td>1,280</td>
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<td>25</td>
<td>1,280</td>
<td>15</td>
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</tbody>
</table>
### Total Enrollments (with occupation stats) and Activations by Location

**Data as of 05/22/09**

<table>
<thead>
<tr>
<th>Enrollment Center Location</th>
<th>Total Enrollments</th>
<th>Total Activations</th>
<th>Drivers</th>
<th>Trucker/Trucking</th>
<th>Facility</th>
<th>Longshore Men</th>
<th>Merchant Marine</th>
<th>Yard Crew</th>
<th>Truck Drivers</th>
<th>Vessel Crew</th>
<th>M-Occupation Support</th>
<th>Other</th>
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<td>877</td>
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<td>473</td>
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<td>New Orleans, LA</td>
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<td>1</td>
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<td>11</td>
<td>650</td>
<td>527</td>
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<td>626</td>
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<td>Total</td>
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<td>190</td>
<td>18,099</td>
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<td>17,817</td>
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<td>3,367</td>
<td>630</td>
<td>5,593</td>
<td>6,669</td>
</tr>
</tbody>
</table>
And the survey says....

• Estimated populations in general not true barometer

• No major disruptions to commerce or port operations

• Facilities 85-90% of workers with TWIC in hand during 1st 3 days

• Vessels 92% of mariners & vessel crew with TWIC in hand

• Preplanning/compliance, coordination & industry flexibility key

• Interim Policies and equivalencies needed

• Surges difficult at times to predict across COTP zones

• Clear articulation of escorting (trucks, rail, labor, etc) was critical
Coast Guard TWIC Enforcement will be conducted in accordance with MTSA facility security inspections requirements:
- **Announced** - One announced Annual Compliance exam within each calendar year;
- **Unannounced** - At least one unannounced Facility Security Spot Check for each facility within each calendar year; and

MTSA Vessel and Mariner checks for TWIC will be carried out during the normal inspection cycle for applicable MTSA regulated and non-MTSA regulated vessels.
- **Required Inspections** - Vessel and licensed mariners should be checked during required vessel inspections.
- **Law Enforcement Boardings** - Mariners will be checked during LE Boardings with guidance recently issued via ALCOAST.
Three elements of a TWIC compliance check:

- **Verification** - Individuals are who they say they are
- **Authentication** - Card is legitimate & official
- **Validation** - Card has not expired, been revoked, etc...

Coast Guard checks short term through visual inspection but long term through the use of handheld readers.
Compliance/ Enforcement

• Individuals who failed to obtain a TWIC by the compliance date will be ineligible for unescorted access to MTSA facilities and/or vessels.

• The Coast Guard will continue using a scalable & progressive enforcement approach to ensure compliance with the TWIC regulations:
  – On-site education and correction
  – Letters of Warning
  – Notice of Violation
  – Operational restrictions, to include restriction or suspension of operations
  – Civil or Criminal Penalties

• TWIC is component of access control and one element of the overall MTSA security regime and layered security

• 42 COTP zones in compliance to date = 3200+ Facilities= Very few violations
Fraudulent TWIC Incident

• **Basic Facts**
  Three individuals attempting access
  Two fraudulent TWICs
  Security gate guards
  Illegal immigrants

• **Resultant Concerns**
  Larger problem? No – only dozen cases nationally
  Card versions
  Security features training

• **Other Cases**
  Safeway Card
  Picture Change
  Deceased Brother
TWIC Implementation Guidance

MTSA & Safe Port Act

Navigation & Vessel Inspection Circular (NVIC) 03-07

Policy Advisory Council Decisions

- 15 Published (05-09 most recent)

- Pending (Existing Physical Access Control System, New TWIC reader, Truck cab/age & cruise ship crew)

See Homeport Website: TWIC - Policy
TWIC Reader Rulemaking

• SAFE Port Act of 2006 – TWIC 2 final rule must be implemented 2 years after commencement of pilot program
  – Pilot testing currently underway and initial data are becoming available

• ANPRM Status
  – Published in the Federal Register March 27, 2009; 60 day public comment period closed May 26, 2009.
  – 1 Public Meeting – May 6, 2009 (Washington, DC)

• NPRM
  – Informed by ANPRM comments & initial pilot data
  – Multiple public meetings are anticipated

• Final Rule
  – Informed by NPRM comments & consistent with the findings of the pilot program
• Preliminary thoughts on reader requirements
• Not proposing any specific regulatory changes until NPRM
• Risk Based Ranking through Analytical Hierarchy Process
  – Max Consequence, Criticality to Nation, & TWIC Utility
• Three Risk Groups*
  – Lowest Group (C) – Visual ID
  – Middle Group (B) – Biometric 1/month & Random
  – Highest Group (A) – Biometric at each entry
• Recurring unescorted access
  – Up to 14 TWIC Holders (TSAC recommendation)
*Propose changes with increased MARSEC increases
ANPRM Public Meeting/ Docket

- Docket/Meeting specifics: Public meeting was held at The Crystal City Sheraton Hotel on May 6, 2009 to receive both oral and written comments.
- One may also submit written comments: Identified by docket number USCG-2007-28915 until 26MAY09 using any one of the following methods:
  - (2) Fax: 202-493-2251.
  - (4) Hand delivery: Same as mail address above, between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays. The telephone number is 202-366-9329.
Regulatory/Policy Projects

- Coast Guard is currently considering:
  - General update to 33 CFR Subchapter H (Maritime Security Regulations)
    - Incorporate lessons learned since publication in 2004
    - Address new statutory requirements
  - Updating Cruise Ship Security Regulations
    - Consolidating the pre-MTSA regulations (33 CFR parts 120 and 128) into more recent 33CFR101-106
    - Promote consistency in screening standards for cruise ships and cruise ship terminals
- NVIC 03-03 Change 2 (28 February): Implementation Guidance for MTSA Facility Regulations – updated for TWIC, Safe Port Act
More Information

• TSA website – [www.tsa.gov/twic](http://www.tsa.gov/twic)
  – Enrollment Information
  – Pilot Program
  – FAQs

• Enrollment Contractor – [www.twicinformation.com](http://www.twicinformation.com)

• TWIC Helpdesk – 1.866.DHS.TWIC

• USCG website – [homeport.uscg.mil](http://homeport.uscg.mil)
  – Final Rulemakings, Compliance Notices & Framework
  – Navigation & Vessel Inspection Circular (NVIC) 03-07, 03-03
  – Policy Advisory Council (PAC) Decisions
  – FAQs

• USCG Helpdesk – 1.877.MTSA.AID, Option 1 or [uscg-twic-helpdesk@uscg.mil](mailto:uscg-twic-helpdesk@uscg.mil)

Questions?